SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	or Se	ction 30(n) of	the Investment Company Act of 1	940			
1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 07/25/2018		3. Issuer Name and Ticker or Trading Symbol <u>Liquidia Technologies Inc</u> [LQDA]					
(Last) (First) (Middle) C/O AL WEALTH PARTNERS PTE LTD,				10% Own	er (N	. If Amendment, D /onth/Day/Year)	ate of Original Filed
#18-02A, ONE TEMASEK AVENUE	_		Officer (give title below)	Other (spe below)		Individual or Join pplicable Line)	t/Group Filing (Check
(Street) SINGAPORE 039192	_						by One Reporting Person by More than One Person
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Dire or Indirect (Instr. 5)	ct (D) (In:	4. Nature of Indirect Beneficial Ownership) (Instr. 5)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exe Expiration I (Month/Day		3. Title and Amount of Securit Underlying Derivative Securit	ty (Instr. 4) Conver or Exer		e Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Series D Preferred Stock	(1)	(1)	Common Stock	151,070	(1)	I	See Footnotes ⁽²⁾⁽³⁾
1. Name and Address of Reporting Person* <u>ALWP CAPITAL SPC LTD</u>							
(Last) (First) (Mi C/O AL WEALTH PARTNERS PTE LTD, #18-02A, ONE TEMASEK AVENUE	ddle)						
(Street) SINGAPORE 039192		_					
(City) (State) (Zi))						
1. Name and Address of Reporting Person [*] <u>AL WEALTH PARTNERS PTE. L1</u>	_						
(Last) (First) (Middle) C/O AL WEALTH PARTNERS PTE LTD, #18-02A, ONE TEMASEK AVENUE							
(Street) SINGAPORE 03	9192	-					
(City) (State) (Zi		-					

Explanation of Responses:

1. All shares of Series D Preferred Stock, par value \$0.001 per share, have no expiration date and will automatically convert into the Issuer's common stock on approximately a 0.0594- for-1 basis immediately prior to the closing of the initial public offering.

2. These securities are held on record by ALWP Capital Growth Segregated Portfolio Fund and ALWP Diversified Segregated Portfolio Fund, each of which is a segregated portfolio fund under ALWP Capital SPC Ltd ("ACSPC"). AL Wealth Partners Pte. Ltd. is the investment manager of the Funds, and has been granted voting and dispositive power, through discretionary mandates and board resolutions with respect to the securities held by ACSPC. By virtue of such relationships, the reporting persons may be deemed to have beneficial ownership over such securities.

3. This report on Form 3 is jointly filed by ALWP Capital SPC Ltd and AL Wealth Partners Pte. Ltd. Each of the reporting persons disclaims beneficial ownership of the securities reported herein for purposes of Rule 16a-1(a) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), except to the extent of its pecuniary interest therein, if any. This report shall not be deemed an admission that any of the reporting persons is a beneficial owner of such securities for the purposes of Section 16 of the Exchange Act, or for any other purposes.

ALWP CAPITAL SPC LTD By: /s/ Leonardo Drago Authorized Signatory

07/25/2018

AL WEALTH PARTNERS 07/25/2018
PTE LTD By: /s/ Hui May Yan

Anthonia Authorized Signatory

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.